

10-22-04
EXPRESS MAIL NO.: EV380370116US

DATE MAILED: October 20, 2004
1646
HWP



HOWREY SIMON ARNOLD & WHITE, LLP

301 Ravenswood Avenue

Box No. 34

Menlo Park, CA 94025

(650) 463-8109

FORM PTO-1083

Attorney Docket No. 03528.0139.PCUS00

In re application of Karsten Rothbarth, *et al.*

Application. No. 09/701,618

Filed: April 13, 2001

For: **METHOD FOR TRIGGERING APOPTOSIS IN CELLS**

Mail Stop Amendment

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

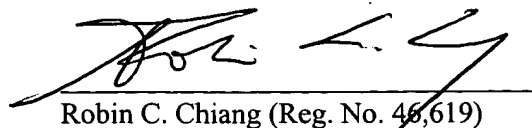
Transmitted herewith are the following:

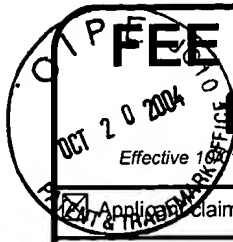
1. PTO Form 1083 (1 page);
2. Response to Office Action (8 pages);
3. PTO/SB/17 Fee Transmittal (1 page, in duplicate);
4. Revocation of Prior Power of Attorney, Appointment of New Attorneys of Record and Change of Correspondence Address (2 pages);
5. A check in the amount of \$1,040.00 for fee under §1.17(a)(5) for 5-month extension (Check No. 7072); and
6. Return receipt postcard.

X No additional claim fee is required.

X The U.S. Patent and Trademark Office is hereby authorized to charge and fee deficiency or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 03528.0139.PCUS00. A duplicate copy of this sheet is attached.

Date: October 20, 2004


Robin C. Chiang (Reg. No. 46,619)



FEE TRANSMITTAL for FY 2005

Effective 10/1/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1040

Complete If Known

Application Number 09/701,618
Filing Date April 13, 2001
First Named Inventor Karsten Rothbarth
Examiner Name Angell, Jon E.
Art Unit 1646
Attorney Docket No. 03528.0139.PCUS00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 08-3038
Deposit Account Name Howrey Simon Arnold & White LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	X		0
3**	X		0
Multiple Dependent			0

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	1040
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (Specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1040

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type) Robin C. Chiang
Registration No. (Attorney/Agent) 46,619
Telephone (650) 463-8127
Signature *[Signature]*
Date October 20, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AmericanLegalNet, Inc.
www.USCourtForms.com